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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

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poreilly@licataandtyrrell.com

Application No. Applicant(s) 10/552,084 WOLFERT ET AL Office Action Summary Examiner Art Unit RONALD T. NIEBAUER 1654 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 17 April 2009. 2a) ☐ This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1-11.16.18-21.24.25.30-32 and 36-39 is/are pending in the application. 4a) Of the above claim(s) 3.8.9.16.18-21.37 and 38 is/are withdrawn from consideration. 5) Claim(s) _____ is/are allowed. 6) Claim(s) 1-2,4-7,10-11,36,39 is/are rejected. 7) Claim(s) _____ is/are objected to. 8) Claim(s) _____ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are; a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abevance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. Attachment(s)

1) Notice of References Cited (PTO-892)

Notice of Draftsperson's Patent Drawing Review (PTO-948)

Information Disclosure Statement(s) (PTO/SB/08)
 Paper No(s)/Mail Date ______.

Interview Summary (PTO-413)
 Paper No(s)/Mail Date.

6) Other:

5) Notice of Informal Patent Application

DETAILED ACTION

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 4/17/09 has been entered.

Applicants arguments and declaration filed 4/17/09 are acknowledged and have been fully considered. Any rejection and/or objection not specifically addressed is herein withdrawn.

Applicant's previously elected with traverse Group II (claims 1-11,16,18-21) and the following species:

Variable/s measured: Lp-PLA2 and CRP

Patient disorder/patient population: hypertension

In the instant case the elected species were found in the prior art. As such the examination is limited to the generic claims and claims to the elected species in accord with section 803.02 of the MPEP. In the instant case, claim 1 is the only claim that reads on hypertension as claims 18-21,37-38 for example read on a different subset of patients. In the instant case, claims 3,8-9,16 for example read on measuring LDL a non-elected species. Claims 24-25,30-32 are drawn to a different group.

Claims 24-25,30-32 are withdrawn from further consideration pursuant to 37 CFR 1.142(b), as being drawn to a nonelected invention, there being no allowable generic or linking

Art Unit: 1654

claim. Applicant timely traversed the restriction (election) requirement in the reply filed on 1/31/08.

Claims 3,8-9,16,18-21,37-38 are withdrawn from further consideration pursuant to 37 CFR 1.142(b), as being drawn to a nonelected invention/species, there being no allowable generic or linking claim. Applicant timely traversed the restriction (election) requirement in the reply filed on 1/31/08.

Although applicants request rejoinder of claims, no generic claim is allowable.

Claims 12-15,17,22-23,26-29,33-35 have been cancelled.

Claims 1-2.4-7.10-11.36.39 are under consideration.

Claim Rejections - 35 USC § 102

The 102 and 103 rejections are maintained from the previous office action. Since applicants arguments are drawn to both the 102 and 103 rejections such arguments are addressed after the 103 rejection.

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-2,4-7,11,36,39 are rejected under 35 U.S.C. 102(b) as being anticipated by Packard et al. (NEJM Oct 19 2000 v343 pages 1148-1155; cited with office action 4/15/08).

Page 4

Art Unit: 1654

Packard teach that there are reports that C-reactive protein (CRP) levels are elevated in those at risk for coronary disease (page 1148 column 2 1st paragraph). Packard teach that lipoprotein-associated phospholipase A2 (Lp-PLA2, also known as platelet-activating factor acetylhydrolase) is a potential predictor of the risk of coronary heart disease (page 1148 column 2 2nd paragraph). Packard confirms that CRP and Lp-PLA2 are indicators of risk of coronary heart disease (page 1152 'discussion' section 1st paragraph). Thus the specific disease recited in claims 2.36 of the instant invention is met. Packard teach that the patient population includes patients with hypertension (table 1) thus meeting the limitation of the elected patient population. Packard teach that CRP and Lp-PLA2 were measured in aliquots of plasma collected from patients (page 1149 'measurements' section 2nd paragraph) thus the sampling (which is a step of the measuring process) was done simultaneously thus meeting the limitations of claim 4 of the instant invention and the samples were from patients as recited in claim 39. Packard also teach that separate enzyme-linked immunoassays were performed for CRP and Lp-PLA2 (page 1149 'measurements' section 2nd and 3rd paragraphs) thus the assaying (which is a step of the measuring process) was performed sequentially thus meeting the limitations of claim 5 of the instant invention. Packard teach that Lp-PLA2 mass was measured (page 1149 'measurements' section 3rd paragraph) thus meeting the limitation of claim 11 of the instant invention, Packard teach that quintile ranges (i.e. divided into 5 classes) were established for the variables (page 1149 'statistical analysis' section 1st paragraph). Since there are 5 classes there are necessarily high and low levels as well as high, medium, and low levels as recited in claims 6-7 of the instant invention. It is noted that claims 6-7 recite 'and a patient having both high CRP and high Lp-PLA2 levels is indicative of heightened risk of CVD'. However, such recitation does not

Art Unit: 1654

require steps to be performed and do not limit the claim scope (see MPEP section 2111.04).

Packard specifically teach a multivariate assessment on the risk of a coronary event (Table 5). As such, the models used the variables including CRP and Lp-PLA2 (i.e. a combination of risk factors). Packard confirms that CRP and Lp-PLA2 are both indicators of risk of coronary heart disease (page 1152 'discussion' section 1st paragraph). Taken together, Packard teach the limitations of claim 1 including measuring levels of Lp-PLA2 and CRP (page 1149 'measurements' section 2nd paragraph), analyzing the risks (Table 5), and using the risks (page 1152 'discussion' section 1st paragraph, Table 5) thus meeting the limitations of claim 1 of the instant invention

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e). (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1-2,4-7,10-11,36,39 are rejected under 35 U.S.C. 103(a) as being unpatentable over Packard et al. (NEJM Oct 19 2000 v343 pages 1148-1155; cited with office action 4/15/08) and further in view of Rao et al. (US 2003/0120134; cited with office action 4/15/08).

As discussed above Packard teach that there are reports that C-reactive protein (CRP) levels are elevated in those at risk for coronary disease (page 1148 column 2 1st paragraph). Packard teach that lipoprotein-associated phospholipase A2 (Lp-PLA2, also known as plateletactivating factor acetylhydrolase) is a potential predictor of the risk of coronary heart disease (page 1148 column 2 2nd paragraph). Packard confirms that CRP and Lp-PLA2 are indicators of risk of coronary heart disease (page 1152 'discussion' section 1st paragraph). Thus the specific disease recited in claims 2.36 of the instant invention is met. Packard teach that the patient population includes patients with hypertension (table 1) thus meeting the limitation of the elected patient population. Packard teach that CRP and Lp-PLA2 were measured in aliquots of plasma collected from patients (page 1149 'measurements' section 2nd paragraph) thus the sampling (which is a step of the measuring process) was done simultaneously thus meeting the limitations of claim 4 of the instant invention and the samples were from patients as recited in claim 39. Packard also teach that separate enzyme-linked immunoassays were performed for CRP and Lp-PLA2 (page 1149 'measurements' section 2nd and 3rd paragraphs) thus the assaving (which is a step of the measuring process) was performed sequentially thus meeting the limitations of claim 5 of the instant invention. Packard teach that Lp-PLA2 mass was measured (page 1149 'measurements' section 3rd paragraph) thus meeting the limitation of claim 11 of the instant invention, Packard teach that quintile ranges (i.e. divided into 5 classes) were established for the

Art Unit: 1654

variables (page 1140 'statistical analysis' section 1st paragraph). Since there are 5 classes there are necessarily high and low levels as well as high, medium, and low levels as recited in claims 6-7 of the instant invention. It is noted that claims 6-7 recite 'and a patient having both high CRP and high Lp-PLA2 levels is indicative of heightened risk of CVD'. However, such recitation does not require steps to be performed and do not limit the claim scope (see MPEP section 2111.04). Packard specifically teach a multivariate assessment on the risk of a coronary event (Table 5). As such, the models used the variables including CRP and Lp-PLA2 (i.e. a combination of risk factors). Packard confirms that CRP and Lp-PLA2 are both indicators of risk of coronary heart disease (page 1152 'discussion' section 1st paragraph). Taken together, Packard teach the limitations of claim 1 including measuring levels of Lp-PLA2 and CRP (page 1149 'measurements' section 2nd paragraph), analyzing the risks (Table 5), and using the risks (page 1152 'discussion' section 1st paragraph, Table 5) thus meeting the limitations of claim 1 of the instant invention.

Packard does not expressly teach the use of ATP III guidelines as recited in claim 10.

Rao et al. teach systems and methods for screening for coronary heart disease (abstract).

Rao teach that patients are assessed for risk for coronary heart disease based on factors (section 0032). Rao specifically teach that the Adult Treatment Panel (ATP III) has produced guidelines for risk. Rao teach the use of the guidelines in the system for screening for coronary heart disease.

Both Packard and Rao teach methods for assessing risk of coronary heart disease. Since there is evidence that cardiovascular risk and disease is under-treated (Rao section 0005) one

Art Unit: 1654

would be motivated to use various methods and combinations of methods to assess risk of coronary heart disease. In particular one would be motivated to use the CRP and Lp-PLA2 risks and additionally use the ATP III guidelines as taught by Rao with the method of Packard thus meeting the limitations of the claims of the instant invention. It is noted that it is obvious to combine compositions each of which is taught by the prior art to be useful for the same purpose (see MPEP section 2144.06). Likewise is it obvious to combine risks (such as those associated with CRP, Lp-PLA2, and ATP III guidelines) for the purpose of assessing the risk of coronary heart disease.

In the instant case all the claimed elements were known in the art as discussed above and one skilled in the art could have combined the elements by known methods and the combination would have yielded predictable results. From the teachings of the references, it is apparent that one of ordinary skill in the art would have had a reasonable expectation of success in producing the claimed invention. Therefore, the invention as a whole was *prima facie* obvious to one of ordinary skill in the art at the time the invention was made, as evidenced by the references.

Response to Arguments and Declaration

Applicants restate part of the previous rejection, recite case law, and argue that as provided in the declaration that Packard does not teach using combined risks as understood by those skilled in the art. Specifically it is argued in the declaration that the models of Packard show risk by adjusting for the effects of all the other markers in the model

Applicants argue that the combined risks are shown in Figure 7 and further examples of the instant invention Applicants argue that what is meant in the claims by combining the risks is clear in light of the teachings and distinguishable from the teachings of Packard.

Applicants argue that the instant invention teach methods of determining risk using risk ratios.

Applicants argue that the prior art is not enabled and does not provide a reasonable expectation of success.

Applicants argue that the prior art specifically Blake et al, provide conflicting teachings.

Applicant's arguments and declaration filed 4/17/09 have been fully considered but they are not persuasive.

First, it is noted that applicants arguments are drawn to both the 102 and 103 rejections. Section 706.02(b) of the MPEP lists ways of overcoming a 102(b) rejection. However, submission of a declaration is not listed as a way to overcome a 102(b) rejection. Thus the declaration is not sufficient to overcome the 102(b) rejection. With regard to the 103 rejection, it appears that the declaration is opinion evidence (see MPEP section 716.01(c)). Section 716.01(c) of the MPEP states that the opinion as to a legal conclusion is not entitled to any weight. Further, section 716.01(c) states that the examiner must consider the interest of the expert in the outcome of the case. In the instant case, the expert writing the declaration is one of the inventors and thus would have an interest in the case. The merits of the declaration are further addressed below

Although Applicants restate part of the previous rejection, recite case law, and argue that as provided in the declaration that Packard does not teach using combined risks as understood by those skilled in the art, Packard confirms that CRP and Lp-PLA2 are both indicators of risk of coronary heart disease (page 1152 'discussion' section 1st paragraph). Table 5 is entitled

'Multivariate assessment of the effect of inflammatory markers on the risk of a coronary event'. Thus one would recognize that the model assesses the risk of a coronary event. The Table 5 caption states that the model included the factors shown. In particular, both Model 1 and Model 2 teach C-reactive protein (CRP) and lipoprotein-associated phospholipase A2 (Lp-PLA2) as variables in the model. In column 2 of Table 5, Packard report risk values. Since Lp-PLA2 and CRP are risks (page 1152 'discussion' section 1st paragraph) and are included in the models used in Table 5, the models which report risks necessarily use both Lp-PLA2 and CRP. It is noted that claim 1 recites 'using the combined risks to assess the risk'. Section 2111 of the MPEP states that the claims are to be given the broadest reasonable interpretation. In the instant case, the claims state an active step of 'using the combined risks'. No special definition is provided for the word 'combine' in the instant specification, thus the term is given the broadest reasonable interpretation. Packard confirms that CRP and Lp-PLA2 are both indicators of risk of coronary heart disease (page 1152 'discussion' section 1st paragraph). Packard teach that a model is used to calculate risks and the model uses variables including Lp-PLA2 and CRP (Table 5). Thus the model uses a combination of risks. Further, no special definition is provided for the word 'assess'. Thus the term is given the broadest reasonable interpretation. Table 5 is entitled 'Multivariate assessment of the effect of inflammatory markers on the risk of a coronary event'. As such, the model uses a combination of variables, including Lp-PLA2 and CRP to assess the risk. Thus, Packard meet the particular active step as claimed. Further, it is noted that applicants acknowledge (page 2 section 4 of declaration) that Packard use model that show risks by adjusting for all the other markers in the model, Lp-PLA2 and CRP are markers in the model. Since Lp-PLA2 and CRP are both risks and are both used in the model which claclualtes risks,

Art Unit: 1654

combined risks are used to assess risk as stated in the instant claims. In the instant case, the claim language used is 'using the combined risks'. Although applicants appear to be of the position that the risks were used for 'adjusting', any 'use' meets the claim limitations. In other words, using the combined risks encompasses many types of calculations, there are no specifics as to how the risks are combined nor how the assessment is done. In accord with section 2111.01 II of the MPEP it is improper to import claim limitations from the specification.

Although Applicants argue that the combined risks are shown in Figure 7 and further examples of the instant invention, it is noted that the instant claims recite 'using the combined risks to assess the risk'. Section 2111.01 II of the MPEP expressly states that it is improper to import claim limitations from the specification. Figure 7, for example, lists 'CHD risk ratio' on the y-axis. The instant claims do not refer to such CHD risk ratio. Thus in accord with section 2111.01 II of the MPEP it would be improper to import claim limitations from the specification

Although Applicants argue that what is meant in the claims by combining the risks is clear in light of the teachings and distinguishable from the teachings of Packard, as discussed in detail above Packard teach the active steps of instant claims 1-2,4-7,11,36,39. With regard to 'what is meant in the claims', section 2111 of the MPEP states that the claims are to be given the broadest reasonable interpretation. In the instant case, the claims state an active step of 'using the combined risks'. No special definition is provided for the word 'combine' in the instant specification, thus the term is given the broadest reasonable interpretation. Further, no special definition is provided for the word 'assess'. Thus the term is given the broadest reasonable interpretation. Section 2111.01 II of the MPEP expressly states that it is improper to import claim limitations from the specification.

Although Applicants argue that the instant invention teach methods of determining risk using risk ratios, it is noted that the instant claims recite 'using the combined risks to assess the risk'. Section 2111.01 II of the MPEP expressly states that it is improper to import claim limitations from the specification. The instant claims do not refer to such risk ratios. Thus in accord with section 2111.01 II of the MPEP it would be improper to import claim limitations from the specification

Although Applicants argue that the prior art is not enabled and does not provide a reasonable expectation of success, it is first noted that claims 1-2,4-7,11,36,39 are rejected under 35 U.S.C. 102(b). Since the prior art teach the active steps, the claim limitations are met. Section 2121 of the MPEP expressly states that prior art is presumed to be enabling. Further, it is noted that the instant claims are drawn to 'assessing risk', not preventing or diagnosing, for example, With regard to expectation of success for the 103 rejection, Packard teach that there are reports that C-reactive protein (CRP) levels are elevated in those at risk for coronary disease (page 1148 column 2 1st paragraph). Packard teach that lipoprotein-associated phospholipase A2 (Lp-PLA2, also known as platelet-activating factor acetylhydrolase) is a potential predictor of the risk of coronary heart disease (page 1148 column 2 2nd paragraph). Packard confirms that CRP and Lp-PLA2 are indicators of risk of coronary heart disease (page 1152 'discussion' section 1st paragraph). Packard even states that Lp-PLA2 has a strong positive association with the risk of coronary heart disease. Further, section 2143.02 II of the MPEP expressly states that obviousness does not require absolute predictability. In the instant case all the claimed elements were known in the art as discussed above and one skilled in the art could have combined the elements by known methods and the combination would have yielded predictable results.

Although Applicants argue that the prior art specifically Blake et al (copy provided by the applicant 4/17/09), provide conflicting teachings, it is first noted that applicants own specification refer to Packard (page 6 section 'clinical review') and state that the results indicated that levels of Lp-PLA2 were significantly associated with development of CHD events and was independent of traditional risk factors. Thus applicants acknowledge the work of Packard. Applicants own specification also acknowledge Blake (page 7 first paragraph) and state that baseline levels of Lp-PLA2 were higher among cases than controls. It is noted that Blake is not used in the instant rejections. Further, Blake acknowledge that Lp-PLA2 has been proposed as a marker of cardiovascular risk and cites 3 references (page 1302). A closer inspection of Blake reveals that Blake sets forth numerous explanations (page 1305-1306) for differences with Packard. Blake states that the use of hormone replacement therapy may have affected the results (page 1305); Blake states that the study involved a smaller sample size than Packard (page 1306); Blake state that the randomized use of aspirin may have affected the results. Thus Blake provides numerous possible reasons for any differences. Thus Blake does not discredit the work of Packard. Further, although not relied upon in the instant rejections, the prior art (Dada and Kim and Wolfert, Expert Rev Mol Diagn, Jan 2002, 2(1) starts on page 17 abstract only, 2 pages; retrieved from

http://www.ncbi.nlm.nih.gov/pubmed/11963798?ordinalpos=12&itool=EntrezSystem2.PEntrez. Pubmed_Pubmed_ResultsPanel.Pubmed_DefaultReportPanel.Pubmed_RVDocSum on 8/6/09) teach that there is accumulating evidence that Lp-PLA2 is a potential biomarker of coronary heart disease (abstract). It is noted that the instant inventor (Robert L Wolfert) who also wrote the declaration is a co-author of the Dada reference. Further, although not relied upon in the

Art Unit: 1654

instant rejections, Caslake et al (Atherosclerosis 150 (2000) pages 413-419) also teach that Lp-PLA2 is a potential new risk factor for coronary heart disease (abstract). Thus the teachings of the prior art suggest the use of Lp-PLA2 is a risk marker.

Thus, Applicant's arguments and declaration filed 4/17/09 have been fully considered but they are not persuasive.

Claims 1-2,4-7,11,36,39 remain rejected under 35 U.S.C. 102(b) as being anticipated by Packard et al. (NEJM Oct 19 2000 v343 pages 1148-1155; cited with office action 4/15/08).

Claims 1-2,4-7,10-11,36,39 remain rejected under 35 U.S.C. 103(a) as being unpatentable over Packard et al. (NEJM Oct 19 2000 v343 pages 1148-1155; cited with office action 4/15/08) and further in view of Rao et al. (US 2003/0120134; cited with office action 4/15/08).

Relevant Prior art

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

Dada and Kim and Wolfert, (Expert Rev Mol Diagn, Jan 2002, 2(1) starts on page 17 abstract only, 2 pages; retrieved from

http://www.ncbi.nlm.nih.gov/pubmed/11963798?ordinalpos=12&itool=EntrezSystem2.PEntrez.

Pubmed_Pubmed_ResultsPanel.Pubmed_DefaultReportPanel.Pubmed_RVDocSum on 8/6/09).

Caslake et al (Atherosclerosis 150 (2000) pages 413-419).

Art Unit: 1654

Dada teach that there is accumulating evidence that Lp-PLA2 is a potential biomarker of coronary heart disease (abstract).

Caslake also teach that Lp-PLA2 is a potential new risk factor for coronary heart disease. Thus the teachings of the prior art suggest the use of Lp-PLA2 is a risk marker (abstract).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to RONALD T. NIEBAUER whose telephone number is (571)270-3059. The examiner can normally be reached on Monday-Thursday, 7:30am-5:00pm, alt. Friday, EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cecilia Tsang can be reached on 571-272-0562. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Application/Control Number: 10/552,084 Page 16

Art Unit: 1654

/Anish Gupta/ Primary Examiner, Art Unit 1654

/Ronald T Niebauer/ Examiner, Art Unit 1654